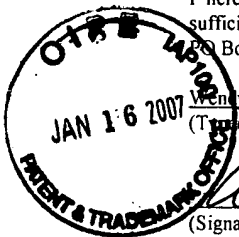


DTW

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 08 January 2007



Wendy Scott

(Typed or Printed Name of Person Mailing Paper or Fee)

*Wendy Scott*  
(Signature of Person Mailing Paper or Fee)

**Attorney Docket No.: GGL-0071-00-US**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

**Inventor:** Georges Harik, et al.  
**Title:** METHOD AND APPARATUS FOR CHARACTERIZING DOCUMENTS BASED ON CLUSTERS OF RELATED WORDS  
**Filing Date:** 30 September 2003  
**Serial Number:** 10/676,571  
**Group Art Unit:** 2165  
**Examiner:** Diane D. Mizrahi

Listed below or on an attached Form PTO-1449 is information known to applicant(s) and submitted pursuant to 37 C.F.R. §1.56. A copy of each listed publication and foreign patent, except for pending U.S. Patents and published U.S. Applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

— This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- (1) It is being filed within 3 months of the application filing date.  
-- OR --
- (2) It is being filed within 3 months of entry of a national stage.  
-- OR --
- (3) It is being filed before the mail date of the first Office Action on the merits.
- (4) It is being filed before the mail date of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

— 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

— a certification as specified in §1.97(e) is provided below; **or**

— a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

X 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

A. a certification as specified in §1.97(e) is completed below; **and**

B. a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

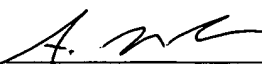
— This statement is being filed after the payment of the issue fee but before issuance of the patent.

X Statement under 37 C.F.R. 1.97(e) - I hereby certify that either: each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement.

Signature:   
A. Richard Park (Reg. No. 41,241)

Date: 08 January 2007

Respectfully submitted,

By:   
A. Richard Park  
Reg. No. 41,241

PARK, VAUGHAN & FLEMING LLP  
2820 Fifth Street  
Davis, CA 95618-7759-7759  
Tel: (530) 759-1661  
Fax: (530) 759-1665  
Email: richard@parklegal.com  
Date: 22 November 2006 08 January 2007



SHEET 1 OF 1

<b>INFORMATION DISCLOSURE CITATION</b>  PTO-1449	ATTY. DOCKET NO. GGL-0071-00-US	APPLICATION NO. 10/676,571
	APPLICANT Georges Harik, et al.	
	FILING DATE 30 September 2003	GROUP ART UNIT 2165

U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	6,820,093 B2	16 Nov 2004	De la Huerga	707	104.1	2 Dec 02
	7,013,298 B1	14 Mar 2006	De la Huerga	707	3	3 May 99
	5,794,050	11 Aug 1998	Dahlgren et al.	395	708	2 Oct. 97
	5,815,830	29 Sept 1998	Anthony	707	6	18 Dec 95
	6,078,914	20 Jun 2000	Redfern	707	3	9 Dec 96

FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Graham, I., The HTML Sourcebook, John Wiley & Sons, 1995 (ISBN 0471118494) (pages on "partial URLs" and "BASE element", e.g., pp. 22-27; 87-88; 167-168).
	Thistlewaite, P., Automatic construction and management of large open webs, Information Processing and Management: an International Journal, vol. 33, Issue 2, March 1997, pp. 161-173 (ISSN 0306-4573).
	Myka, A., Automatic Hypertext Conversion of Paper Document Collections (ch. 6), Digital Libraries Workshop DL '94, Newark NJ, May, 1994 (selected papers), pp. 65-90.
	Mills, T., Providing world wide access to historical sources, Computer Networks and ISDN Systems, Vol. 29, Nos. 8-13, September 1997, pp. 1317-1325 .

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.